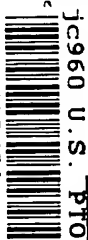


10/30/01



Jc960 U.S. PTO

Please type a plus sign (+) inside this box



12-04-01

PTO/SB/05 (1/98)

Approved for use through 09/30/00. OM 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	M-10187-44C US
First Named Inventor or Application Identifier	Toshiaki Kirihaata X
Title	Flash EEprom System
Express Mail Label No.	EL 873331478 US

JCT81 U.S. PTO



10/30/01

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contents.ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form - *see page 2 of this form.*  
(Submit an original, and a duplicate for fee processing)

## 2. Application:

- ☒ Specification: (preferred arrangement set forth below)

Descriptive title of the Invention,  
Cross References to Related Applications,  
Reference to Microfiche Appendix,  
Background of the Invention,  
Brief Summary of the Invention,  
Brief Description of the Drawings, and  
Detailed Description (all totaling 32 pages)  
Appendix(ces) \_\_\_, \_\_\_, & \_\_\_ (\_\_\_ pages)

- ☒ Claim(s) 17 pages

- ☒ Abstract of the Disclosure 1 page

3. ☒ Drawing(s) (35 USC 113) (Total Sheets \_\_\_)

4. Oath or Declaration ☐ unsigned (Total Pages \_\_\_)

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 completed)

- c. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program Appendix  
consisting of \_\_\_ pages of microfiche containing \_\_\_ frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy

- b. ☐ Paper Copy (identical to computer copy)

- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents) \_\_\_ pages

9. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney  
(combined when there is an Assignee with Patent Declaration above.)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS) & ☐ PTO-1449 ☐ \_\_\_ Copies of IDS Citations/References

12. ☒ Preliminary Amendment 11 pages

13. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)

14. Small Entity Status

- ☐ Small Entity Statement Enclosed \_\_\_ pages

- ☐ Statement filed in prior application; and  
status still proper and desired

- ☐ Is no longer claimed.

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☒ Other:

- ☐ Copy of Petition for Extension of Time filed in parent appl.;

- ☒ Copy of Patent 6,141,267

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

- ☒ Continuation ☐ Divisional of prior application No 09/ 280,385

Filed on March 3, 1999, entitled: FLASH EEPROM SYSTEM

PRIOR APPLICATION INFORMATION: Examiner G. Gossage Group Art Unit 2187

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

Name	Michael G. Cleveland		Reg. No. 46,030	
Attorneys for Applicant	Skjerven Morrill MacPherson LLP			
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City	San Jose	State	CA	Zip Code 95110
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### 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
35	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	15	x	\$18	=	\$ 270.00
7	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	4	x	\$84	=	\$ 336.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))					+	\$280.00	=
							BASIC FEE (37 CFR 1.16(a))	= \$ 740.00
							Total of above Calculations	= \$ 606.00
							Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=
							TOTAL	= \$ 1,346.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

#### NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

### 22. NEW CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

☐ New correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE		FAX		

### 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (408) 453-9200 Fax. (408) 453-7979	
Date:	October 30, 2001
Name	Michael G. Cleveland Reg. No. 46,030
Signature	
Express Mail Label No.	EL 873331478 US